

1723

Attorney Docket No. 56822(47126)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: G. CEVC *et al.*

U.S.S.N.: 10/037,480

ART UNIT: 1723

FILED: January 4, 2002

EXAMINER: Unknown

FOR: A METHOD FOR THE IMPROVEMENT OF TRANSPORT ACROSS
ADAPTABLE SEMI-PERMEABLE BARRIERS

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Sep. 2, 2003

By: *Eileen M. Woodbury*
Eileen M. Woodbury

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
(SUBMISSION AFTER FILING OF AN APPLICATION
BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE)**

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- a. X Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. Each of the patents, publications or other information listed on the attached PTO-1449 was previously cited by or submitted to the USPTO in connection with U.S.S.N.: _____, to which the subject application claims the benefit of the earlier filing date. As such, a copy of each is not included herewith pursuant to 37 C.F.R. § 1.98(d).

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

- a. X Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
_ English translation for reference(s) _____.
_ English abstract for reference(s) _____.
_ English translation of search report/official communication.
_ Copy of PCT/EPO Search Report.
- c. X The following additional information is provided for the Examiner's consideration:
_ Copy of EPO Search Report.
_ Copy of PCT Search Report.
_ Copy of Official Communication from the ____ Patent Office.
_ English translation of search report/ official communication.
_ Copy of _____.
 X Other Reference CA relates to the human body and has been cited because of its description of the human skin structure; Reference CB is an extract from a biochemical product catalogue; Reference CE relates to complex lipids;

Reference CF is a catalogue form biochemicals relating to phosphatidylcholin and asolectin; Reference CG relates to a seminar which described visualization techniques for vesicular systems and interactions of liposomes with the skin.

FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)
(check one box)

- a. _____ within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. _____ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. X before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$240.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. _____ No certification; therefore, a fee in the amount of \$240.00 is required by 37 C.F.R. . § 1.17(p).
- or
- b. _____ See the certification below. No fee is required.

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VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby certifies that

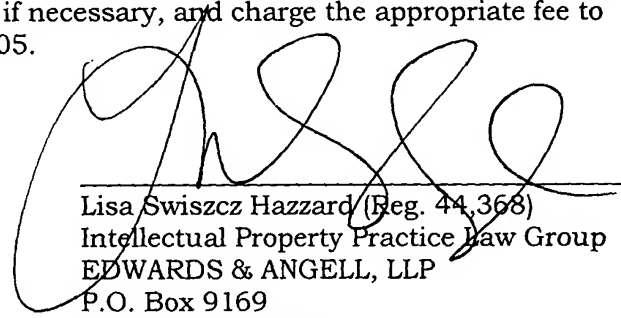
- a. each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
- c. Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

VII. FEE PAYMENT (check one)

- Enclosed please find a check in the amount of \$240.00 for the above-indicated fee.
- Please charge Deposit Account No. 04-1105 in the amount of \$240.00 for the above-indicated fee.
- No fee is required.

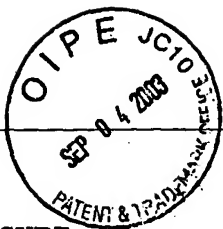
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If the Examiner has any questions concerning the IDS, he/she is requested to contact the undersigned. If it is determined that the IDS has been filed under the wrong rule, the PTO is requested to consider the IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. 04-1105.



Lisa Swiszczy Hazzard (Reg. 44,368)
Intellectual Property Practice Law Group
EDWARDS & ANGELL, LLP
P.O. Box 9169
Boston, MA 02209
617-439-4444

Customer No.: 21874



FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT		DOCKET NO: 56822 (47126)	SERIAL NO.: 10/037,480				
		APPLICANT: G. CEVC et al.					
		FILING DATE: January 4, 2002	GROUP NO. 1723				
UNITED STATES PATENT DOCUMENTS							
EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
OTHER DOCUMENTS							
	CA	Benner, "The Human Body, The Wonderwork of the Human Body, Structure, Functions, Interactions, Processes and Mechanisms" Weltbild GmbH Augsburg (1995)					
	CB	Serva, FEINBIOCHEMICA FUR FORSCHUNG for <i>Serva Feinbiochemica GmbH & Co.</i> (1986/1987)					
	CC	Clark, J.M., Jr., "Experimental Biochemistry" Biochemistry Division, Department of Chemistry, University of Illinois, pp. 47-48					
	CD	Patel, H.M. "Liposomes as a Controlled-release System" Biomedical Society Transactions 609 th Meeting, Leeds, pp. 513-516					
	CE	Fieser, L.F., et al. "Organische Chemie" <i>Hans Ruprecht Hensel, 2nd revised edition, Verlag Chemie GmbH, Weinheim/Bergstr</i> (1968)					
	CF	Fluka Chemika-BioChemika Catalogue 16 (1988/89)					
	CG	Roeding, J. "Liposomes and Niosomes in Pharmacy and Cosmetic State of the Art Prospects, Techniques of Visualizing Vesicular Systems, Interaction of Liposomes with the Skin" <i>Training Course No. 105 from May 14 to 16, 1990, MARITIM Hotel Nurnberg, Frauentorgraben 11, 8500 Nurenberg</i>					
EXAMINER:					DATE:		